

Agenda: Nursing Home Statewide Call Rescheduled

Wednesday , February 20, 2019 from 11 a.m.-12 noon CST

Participant Conference Call Number: 1 (866)-801-8136 Conference #: 6798755

Information Bulletin 19-01: Nursing Home Regulatory Statewide Phone Call
(https://www.health.state.mn.us/facilities/regulation/infobulletins/ib19_1.html)

Welcome - Mary Absolon, Program Manager MDH Licensing & Certification (L & C) Program

1. **Federal Integration Update-** Mary Absolon

Effective 12/3/18, MDH integrated responsibility for federal surveys and federal complaints into the L & C program. L & C have statewide staff, regionally located who are now conducting complaint investigations in conjunction with surveys, stand-alone complaints and follow up visits. Substantiated deficiencies issued will be forwarded to OHFC for maltreatment determination.

Complaints are being investigated consistent with federal priorities under CMS Chapter 5 for Complaint Procedures. Many federal complaints are being investigated statewide.

Tip: Know your federal enforcement cycle and ensure you are in compliance to avoid federal remedies and maintain certification.

Effective 12/3/18, all federal incidents are being triaged by federally trained surveyors with knowledge of federal regulations. Through the federal triage process MDH has identified that some facility reported incidents are very brief. MDH triages on information available on the report.

Example: Subdural hematoma triaged as an IJ, on-site investigation found subdural hematoma related to a fall that occurred prior to admission.

Triage staff are contacting facilities directly when cases are identified that do not meet the federal regulations for reporting.

Tip: F600- Review the definitions of abuse, neglect, injury of unknown origin, resident to resident, misappropriation of property for what is reportable.

CMS is undergoing revision and clarification related to reporting- stay tuned.

State Operation Manual, Chapter 5- Complaint Procedures
(<https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/Downloads/som107c05.pdf>)

2. Deficiency Trends- Liz Silkey, Supervisor MDH L & C Program

Federal Fiscal Year 2017 and 2018 Survey and Complaint Deficiency Frequency Report:

FY 2017 Top 25 Tags – Survey (PDF)

FY 2017 Top 25 Tags – Complaints (PDF)

FY 2018 Top 25 Tags – Survey (PDF)

FY 2018 Citation Top 25 Tags – Complaints (PDF)

FY 2018 Top 25 E Tags – Survey (PDF)

FY 2018 Greater than or Equal to J – Complaints (PDF)

FY 2018 Greater than or Equal to G – Complaints (PDF)

FY 2018 Greater than or Equal to D – Complaints (PDF)

FY 2018 Greater than or Equal to J – Survey (PDF)

FY 2018 Greater than or Equal to G – Survey (PDF)

FY 2018 Greater than or Equal to D – Survey (PDF)

CMS Link to Deficiency Data (<https://qcor.cms.gov>)

Deficiency Trends since 1/1/19 for Immediate Jeopardy Update.

MDH has identified 12 IJ's as of 2/14/19. Majority were result of complaint investigation.

Areas identified:

Free of Abuse and Neglect

Suspicious bruising in groin, breast and neck areas and not identified, reported or investigated.

Rough handling by staff (physical abuse)

Inappropriate physical touch and yelling at resident (sexual and verbal abuse)

Free of Accident Hazards/Supervision/Devices

Transfer with mechanical lift- straps not properly connected and sling too large

Failure to supervise resident at risk for falls, had dementia, impulsive behavior, anxiety, agitation with repeated self-transfers resulting in self-transfers with multiple fractures

Smoking while using oxygen

Infection Control

Resident/s displaying symptoms of influenza and no action to protect other residents from influenza

Treatment /Services/ to Prevent/Heal Pressure Ulcers

Did not provide treatment for prevention of stage 3-4 pressure ulcers

Quality of Care

Did not notify the physician for a resident who was having bloody stools and on Coumadin. Resident was hospitalized with low Hemoglobin and required blood product transfusion

3. **Emergency Preparedness- QTSO19-06-** Brenda Fischer, Assistant Program Manager L & C Program

Note: Emerging infectious diseases has been added to the definition of all-hazards approach. Clarifications under alternative source power and emergency stand by systems.

Advanced Copy- Revised Appendix Z State Operations Manual
(<https://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertificationGenInfo/Downloads/QSO19-06-ALL.pdf>)

4. **CMS Oversight of MDH: Resource and Support Survey** - Liz Silkey
RSS Introduction to SA (PDF)
5. **Focused Dementia Survey of providers: performed by external CMS contractor-** Liz Silkey
6. **Phase 3 Long Term Care Requirements (implementation date 11/28/19)** - Liz Silkey
Related to Infection Control, QAPI, Trauma Informed Care
Stay tuned for joint training dates
CMS indicated new interpretive guidelines to be released.

State Operations Manual, Appendix PP - Guidance to Surveyors for Long Term Care Facilities (https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/downloads/som107ap_pp_guidelines_ltc.pdf)

7. **MN Collaborative Healthcare-Associated Infections Network (CHAIN) update - Stratis Health- Kristi Wergin RN, BSN, CPHQ, Program Manager** Appendix L: Infection and Antibiotic Use Tracking Tool Instructions
(<https://www.health.state.mn.us/diseases/antibioticresistance/hcp/asp/ltc/index.html>)

Comments/Questions. Inquiries may be sent to health.fpc-web@state.mn.us

Future 2019 Statewide NH Call Scheduled:

Monday, May 13, 2019 from 11:00 a.m. to 12:00 p.m. CST

Thank you for joining this call.

Minnesota Department of Health
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2/15/19